## **Evaluation: Metrics and Benchmarks**

**Daniel Fried** 

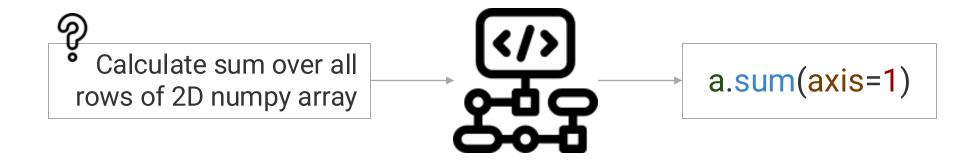
11-891: Neural Code Generation

https://cmu-codegen.github.io/f2025/



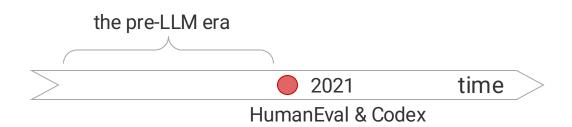
## The NL2Code Task

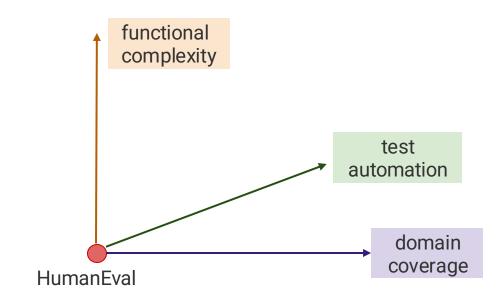
 Given a natural language instruction Q, generate code implementation C



## The Landscape for NL2Code Generation

- Transition of Evaluation Metrics:
  - Lexical
  - Neural based metrics
  - Test case execution
- Domain Coverage
  - Built-in grammar: sum([1, 2, 4])
  - Domain-specific: data science
  - Open domain: diverse Python libraries
- Test Automation
  - Human-written tests
  - Fuzzing methods
  - Integrating LLMs
- Functional Complexity
  - Simple (toy) functions: e.g., LeetCode
  - Class level
  - Repository level





### Pre-2020

- ► Most code snippets were short, and evaluated using BLEU or exact match.
- Datasets were fairly large, with dedicated training sets.

| Natural Language                        | Bash Command(s)  |
|---|--|
| find .java files in the current direc-  | grep -l "TODO" *.java  |
| tory tree that contain the pattern      | findname "*.java" -exec grep -il "TODO" {} \;                |
| 'TODO' and print their names            | findname "*.java"   xargs -I {} grep -l "TODO" {}            |
| display the 5 largest files in the cur- | findtype f   sort -nk 5,5   tail -5                          |
| rent directory and its sub-directories  | du -a .   sort -rh   head -n5                                |
| rem arrectory and its sub-arrectories   | findtype f -printf '%s %p\n'   sort -rn   head -n5           |
| search for all jpg images on the sys-   | tar -cvf images.tar \$(find / -type f -name *.jpg)           |
| tem and archive them to tar ball "im-   | tar -rvf images.tar \$(find / -type f -name *.jpg)           |
| ages.tar"                               | find / -type f -name "*.jpg" -exec tar -cvf images.tar {} \; |

|              | Train | Dev | Test |
|--------------|-------|-----|------|
| # pairs      | 8,090 | 609 | 606  |
| # unique nls | 7,340 | 549 | 547  |

### Pre-2020

- ► Most code snippets were short, and evaluated using BLEU or exact match.
- Datasets were fairly large, with dedicated training sets.

|                      |        | #       | #      | #                 | Avg. #   | Avg. #            | NL             | Code        | Semantic          | Introduced                              |
|----------------------|--------|---------|--------|-------------------|----------|-------------------|----------------|-------------|-------------------|---|
| Dataset              | PL     |         |        |                   |          |                   |                | 1           | l                 |   |
|                      |        | pairs   | words  | tokens            | w. in nl | t. in code        | collection     | collection  | alignment         | by                                      |
| IFTTT                | DSL    | 86,960  | _      | _                 | 7.0      | 21.8              |                |             |                   | (Quirk et al., 2015)                    |
| C#2NL*               | C#     | ,       | 24,857 | 91,156            | 12       | 38                | scraped        | scraped     | Noisy             | (Iyer et al., 2016)                     |
| SQL2NL*              | SQL    | 32,337  | 10,086 | 1,287             | 9        | 46                | scraped        | scraped     |                   | (1yer et al., 2010)                     |
| RegexLib             | Regex  | 3,619   | 13,491 | 179**             | 36.4     | 58.8 <sup>*</sup> |                |             |                   | (Zhong et al., 2018)                    |
| HeartStone           | Python | 665     | _      | _                 | 7        | 352**             | game card      | game card   | Good <sup>®</sup> | (Ling et al., 2016)                     |
| MTG                  | Java   | 13,297  | _      | _                 | 21       | 1,080**           | description    | source code |                   | (Ellig et al., 2010)                    |
| StoOC                | Python | 147,546 | 17,635 | 137,123           | 9        | 86                | extracted      | extracted   | Noisy             | (Yao et al., 2018)                      |
| StaQC                | SQL    | 119,519 | 9,920  | 21,413            | 9        | 60                | using ML       | using ML    | Noisy             | (1a0 et al., 2018)                      |
| NL2RX                | Regex  | 10,000  | 560    | 45 <sup>*†</sup>  | 10.6     | 26 <sup>*</sup>   | synthesized &  | synthesized | Very              | (Locascio et al., 2016)                 |
| WikiSQL              | SQL    | 80,654  | _      | _                 | _        | _                 | paraphrased    | syndiesized | Good              | (Zhong et al., 2017)                    |
| NLMAPS               | DSL    | 2,380   | 1,014  | _                 | 10.9     | 16.0              | synthesized    | expert      |                   | (Haas and Riezler, 2016)                |
|                      |        | _,,,,,  | -,     |                   |          | 2010              | given code     | written     |                   | (====================================== |
| Jobs640 <sup>★</sup> | DSL    | 640     | 391    | 58 <sup>†</sup>   | 9.8      | 22.9              |                |             |                   | (Tang and Mooney, 2001)                 |
| GEO880               | DSL    | 880     | 284    | 60 <sup>†</sup>   | 7.6      | 19.1              | usan vynittan  |             |                   | (Zelle and Mooney, 1996)                |
| Freebase917          | DSL    | 917     | _      | _                 | _        | _                 | user written   | expert      | Very              | (Cai and Yates, 2013)                   |
| ATIS*                | DSL    | 5,410   | 936    | 176 <sup>†</sup>  | 11.1     | 28.1              |                | written     | Good              | (Dahl et al., 1994)                     |
| WebQSP               | DSL    | 4,737   | _      | _                 | _        | _                 | search log     | given NL    |                   | (Yih et al., 2016)                      |
| NL2RX-KB13           | Regex  | 824     | 715    | 85 <sup>**†</sup> | 7.1      | 19.0*             | turker written |             |                   | (Kushman and Barzilay, 2013)            |
| Django*              | Python | 18,805  | _      | _                 | 14.3     | _                 | expert written | scraped     |                   | (Oda et al., 2015)                      |
| NL2Bash              | Bash   | 9,305   | 7,790  | 6,234             | 11.7     | 7.7               | given code     | scrapcu     |                   | Ours                                    |

## **Evaluation Metrics**

## Reference Matching: BLEU

- Developed for machine translation (Papineni et al. 2002)
- Compares n-gram precision between predicted and reference
- Typically, uses n-grams up to 4 (BLEU-4)

```
Reference: Taro visited Hanako
```

System: the Taro visited the Hanako

\_\_\_\_

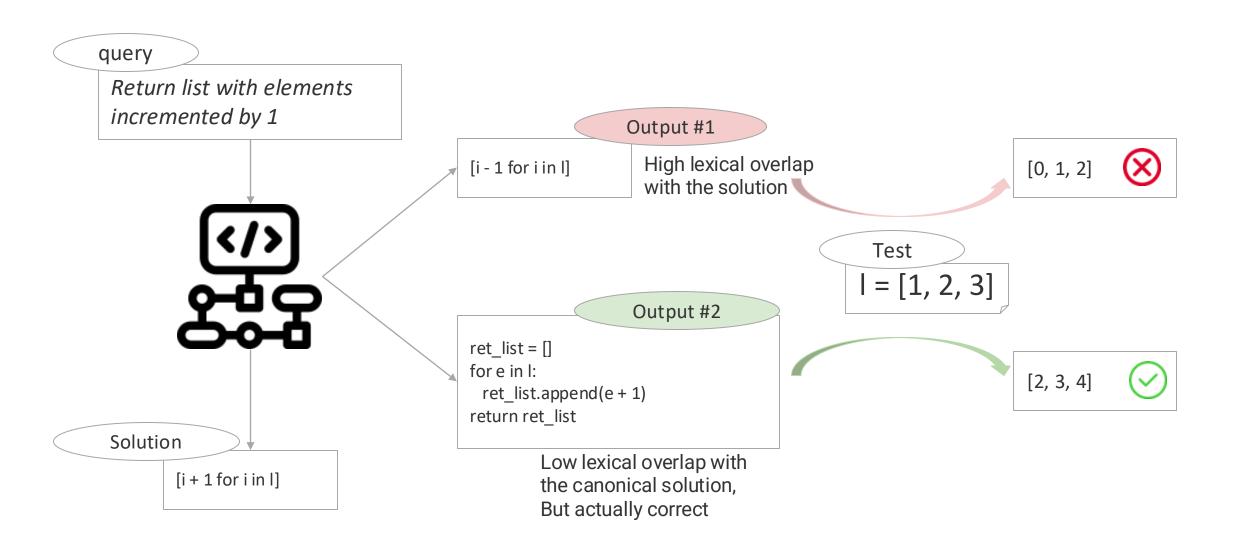
1-gram: 3/5

2-gram: 1/4

Brevity: min(1, |System|/|Reference|) = min(1, 5/3) brevity penalty = 1.0

BLEU-2 = 
$$(3/5*1/4)^{1/2} * 1.0$$
  
= 0.387

# Issues: Evaluations Are Not Rigorous



## HumanEval Benchmark

- Evaluation: test case execution
- ▶ 164 hand-written examples, by authors of the paper
- Why human-written?
  - "It is important for these tasks to be hand-written, since our models are trained on a large fraction of GitHub, which already contains solutions to problems from a variety of sources."

```
def solution(lst):
    """Given a non-empty list of integers, return the sum of all of the odd elements
    that are in even positions.

Examples
    solution([5, 8, 7, 1]) =⇒12
    solution([3, 3, 3, 3, 3]) =⇒9
    solution([30, 13, 24, 321]) =⇒0
    """

return sum(lst[i] for i in range(0,len(lst)) if i % 2 == 0 and lst[i] % 2 == 1)
```

### Metrics

pass@1: model gets one attempt to solve each question.
Fraction of problems where the solution passes all test cases.

▶ pass@k: sample k solutions for each question. Check if any passes. Fraction of problems where one solution passes all test cases.

## MBPP: Mostly Basic Python Programs

- Similar to HumanEval, but a bit easier
- ▶ 974 short Python problems, written by crowdworkers
  - ▶ 58% mathematical, 43% list processing, 19% string processing

# MBPP: Mostly Basic Python Programs

 Model performance is sensitive to sampling temperature and number of candidates (similar findings in HumanEval/Codex paper)

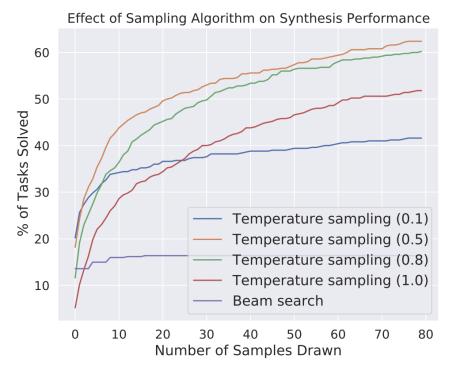


Figure 9: Higher temperatures achieve better scaling with more samples, but perform worse with a smaller budget.

## MBPP: Mostly Basic Python Programs

▶ BLEU against a reference solution is uncorrelated with whether samples pass execution tests (similar findings in Codex paper).

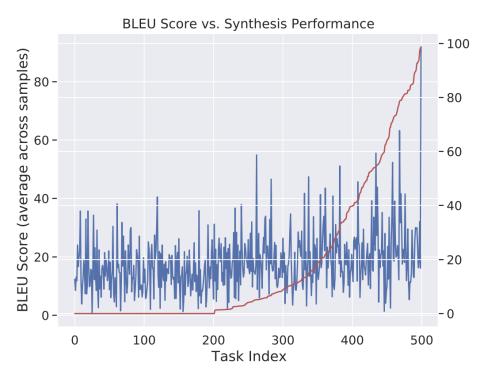
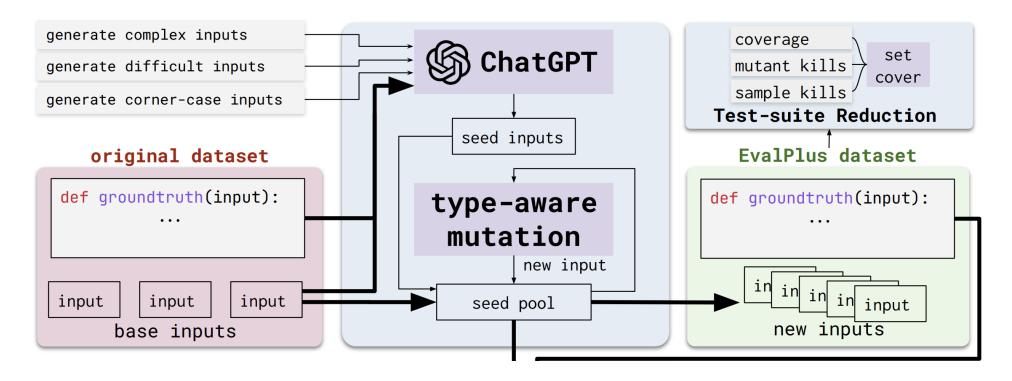


Figure 10: Comparison of BLEU score and synthesis performance for the 137B parameter model. No strong correlation is observed.

- EvalPlus: use LLMs and fuzzing (type-aware mutation) to create test cases
- Prompt ChatGPT with the GT solution, some inputs, and instructions to generate more



- EvalPlus: use LLMs and fuzzing (type-aware mutation) to create test cases
- ► Fuzzing: mutate inputs to the functions, apply the groundtruth function, and use the input-output pair to make a new test case.

Table 1: List of basic type-aware mutations over input x.

| Type             | Mutation   | Type  | Mutation   |  |  |
|------------------|--|-------|--|--|--|
| int float        | Returns $x\pm 1$   | List  | $\begin{cases} \text{Remove/repeat a random item } x[i] \\ \text{Insert/replace } x[i] \text{ with } \texttt{Mutate}(x[i]) \end{cases}$  |  |  |
| bool             | Returns a random boolean   | Tuple | Returns Tuple (Mutate(List(x)))  |  |  |
| ${\tt NoneType}$ | Returns None   | Set   | Returns $Set(Mutate(List(x)))$   |  |  |
| str              | $\begin{cases} & \text{Remove a sub-string } s \\ & \text{Repeat a sub-string } s \\ & \text{Replace } s \text{ with } \texttt{Mutate}(s) \end{cases}$ | Dict  | $ \begin{cases} & \text{Remove a key-value pair } k \to v \\ & \text{Update } k \to v \text{ to } k \to \texttt{Mutate}(v) \\ & \text{Insert Mutate}(k) \to \texttt{Mutate}(v) \end{cases} $ |  |  |

- ► EvalPlus: use LLMs and *fuzzing* (type-aware mutation) to create test cases
- Optionally, minify the test sets while preserving code coverage and edge case detection.
   Table 2: Overview of EvalPlus-improved benchmarks.

|                              |              | #Tests |      |                  |        |       |  |
|------------------------------|--------------|--------|------|------------------|--------|-------|--|
|                              | Avg.         | Medium | Min. | Max.             | _ #Tas |       |  |
| HUMANEVAL                    | 9.6          | 7.0    | 1    | 105 <sup>2</sup> | ,      |       |  |
| HumanEval <sup>+</sup>       | 764.1        | 982.5  | 12   | 1,100            | 16     | 4     |  |
| HumanEval <sup>+</sup> -mini | 16.1         | 13.0   | 5    | 110              | )      |       |  |
|                              | Size         | pass@k | k=1* | k=1              | k=10   | k=100 |  |
| GPT-4 [49]                   | N/A          | base   | 88.4 |                  |        |       |  |
| OF 1-4 [49]                  | 1 <b>V/A</b> | +extra | 76.2 |                  |        |       |  |
| Phind-CodeLlama [52]         | 34B          | base   | 71.3 | 71.6             | 90.5   | 96.2  |  |
| Fillid-CodeLiailia [32]      | 34 <b>D</b>  | +extra | 67.1 | 67.0             | 85.0   | 92.5  |  |
| WizardCoder-CodeLlama [38]   | 34B          | base   | 73.2 | 61.6             | 85.2   | 94.5  |  |
| WizardCoder-CodeLiania [36]  | <b>34D</b>   | +extra | 64.6 | 54.5             | 78.6   | 88.9  |  |
| ChatGPT [48]                 | N/A          | base   | 73.2 | 69.4             | 88.6   | 94.0  |  |
|                              | 14/74        | +extra | 63.4 | 62.5             | 82.1   | 91.1  |  |

- ► EvalPlus: use LLMs and *fuzzing* (type-aware mutation) to create test cases
- These extra tests substantially reduce the pass@k of many models!

|                            | Size        | pass@k | $k=1^*$ | k=1  | k=10 | k=100 |
|----------------------------|-------------|--------|---------|------|------|-------|
| GPT-4 [49]                 | N/A         | base   | 88.4    |      |      |       |
| GF 1-4 [49]                | IN/A        | +extra | 76.2    |      |      |       |
| Phind-CodeLlama [52]       | 34B         | base   | 71.3    | 71.6 | 90.5 | 96.2  |
| Fillid-CodeLiailia [32]    | 34 <b>D</b> | +extra | 67.1    | 67.0 | 85.0 | 92.5  |
| WizardCoder-CodeLlama [38] | 34B         | base   | 73.2    | 61.6 | 85.2 | 94.5  |
| WizardCoder-CodeLiama [36] | 34 <b>D</b> | +extra | 64.6    | 54.5 | 78.6 | 88.9  |
| ChatGPT [48]               | N/A         | base   | 73.2    | 69.4 | 88.6 | 94.0  |
| Chator r [40]              | 11/71       | +extra | 63.4    | 62.5 | 82.1 | 91.1  |

## MultiPL-E

- Key idea: it's relatively easy to translate test cases on simple types (e.g. no matrices or functions) from Python to other languages.
- This allows porting HumanEval & MBPP to 18 other languages.

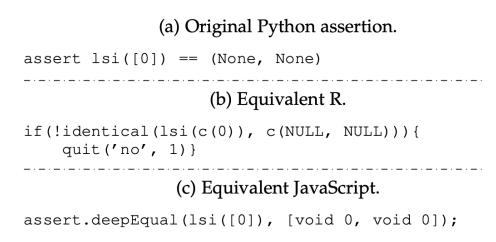


Figure 4: Example of a translated assertion.

(a) Original Python docstring from HumanEval #95.

```
Given a dictionary, return True if all keys are strings in lower case or all keys are strings in upper case, else return False. The function should return False is the given dictionary is empty.

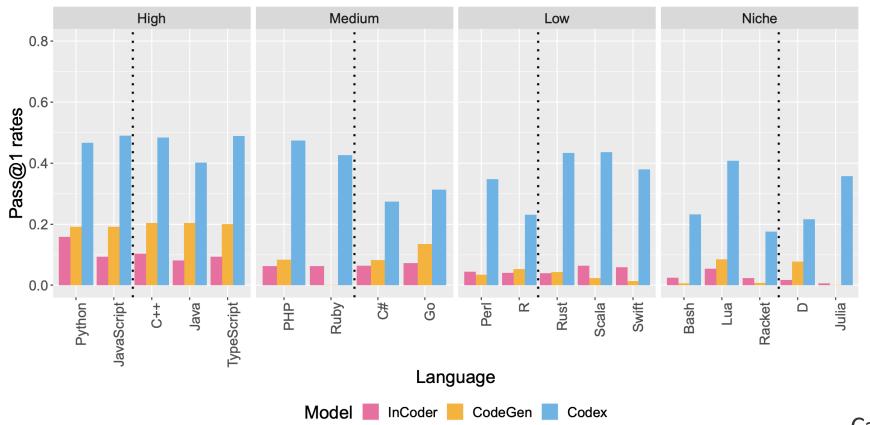
(b) Terminology translated to Perl.
```

Given a hash, return 1 if all keys are strings in lower case or all keys are strings in upper case, else return "" . The function should return "" is the given hash is empty.

Figure 5: A Python docstring and its Perl translation. Errors (e.g., "is" for "if") are from the original benchmark.

## MultiPL-E

- Models are generally better on "high-resource" languages with more code on GitHub.
- More analysis of this in the Data lecture, with Starcoder.



### Incorrect Code Can Be Valuable Too!

Code might be easily editable to achieve a good solution.

Levenshtein distance: number of character edits required to transform.

$$\text{Edit-Sim} = 1 - \frac{lev(gen, ref)}{max(len(gen), len(ref))}$$

#### Reference Code Snippet

```
def even_odd_count(num):
    even_count = 0
    odd_count = 0
    for i in str(abs(num)):
        if int(i)%2=0:
            even_count +=1
        else:
            odd_count +=1
    return (even_count, odd_count)
```

#### Generated Code Snippet

```
def even_odd_count(num):
    even_count = 0
    odd_count = 0
    for i in str(num):
        if int(i) % 2 == 0:
            even_count += 1
        else:
            odd_count += 1
    return even_count, odd_count
```

#### **Functional Metric**

#### Similarity Metric

edit similarity = **0.93** 

#### Human preference

preference = **0.9** 

## Incorrect Code Can Be Valuable Too!

- Dibia et al. compare metrics to evaluate 5 model outputs on HumanEval.
  - EditDistance, BLEU, Pass@1
- Professional programmers with Python experience rate on:
  - Accuracy: judge if the snippets are functionally equivalent (judging is easier than writing!)
  - Value: How useful is the snippet as a starting point?
  - ▶ **Effort**: how much effort to modify the solution into a correct one?

### Incorrect Code Can Be Valuable Too!

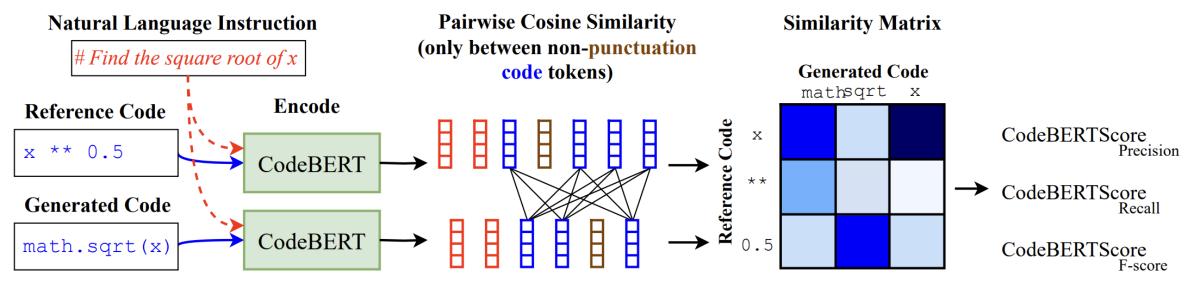
- Value is nearly perfectly correlated with effort (accuracy less so).
- Of all metrics, Pass@1 is most correlated with Value
- But, Edit sim > BLEU and a combination is best (as dissimilar, incorrect code is bad).

**COMBINED** = min(1.0, PASS + EDIT-SIM)

| _        | Huma  | an Judger | ment   |      | Offline Metrics |      |          |  |  |
|----------|-------|-----------|--------|------|-----------------|------|----------|--|--|
|          | Value | Accuracy  | Effort | Pass | Edit Sim        | bleu | Combined |  |  |
| Value    | 1.00  |           |        |      |                 |      |          |  |  |
| Accuracy | 0.87  | 1.00      |        |      |                 |      |          |  |  |
| Effort   | 0.94  | 0.86      | 1.00   |      |                 |      |          |  |  |
| Pass     | 0.61  | 0.66      | 0.62   | 1.00 |                 |      |          |  |  |
| Edit Sim | 0.48  | 0.46      | 0.51   | 0.33 | 1.00            |      |          |  |  |
| bleu     | 0.36  | 0.34      | 0.39   | 0.19 | 0.68            | 1.00 |          |  |  |
| Combined | 0.70  | 0.71      | 0.72   | 0.89 | 0.61            | 0.38 | 1.00     |  |  |

### CodeBERTScore: Model-based Evaluation

- Captures some intuitions about incorrect code being useful
- BLEU and edit distance only give points for exactly matching code
- Takes NL code descriptions into account
- Use vector similarity from CodeBERT representations
- Recall: every reference vector has >=1 candidate vector with high similarity
- Precision: every candidate vector has >=1 reference vector with high similarity



## LLM-as-a-Judge

Can we use LLMs to judge the code?

- Widely used in industry to judge
  - Code style (whether it's well-commented, etc.)
  - Overlap with a reference solution (like CodeBERTScore)

Much harder to use them to judge code correctness, without executing the code

## **Domains of Code**

## HumanEval Looks Like Toy Examples?

#### HumanEval Examples

```
def incr_list(l: list):
    """Return list with elements incremented by 1.
    >>> incr_list([1, 2, 3])
    [2, 3, 4]
    >>> incr_list([5, 3, 5, 2, 3, 3, 9, 0, 123])
    [6, 4, 6, 3, 4, 4, 10, 1, 124]
    """
    return [i + 1 for i in 1]
```

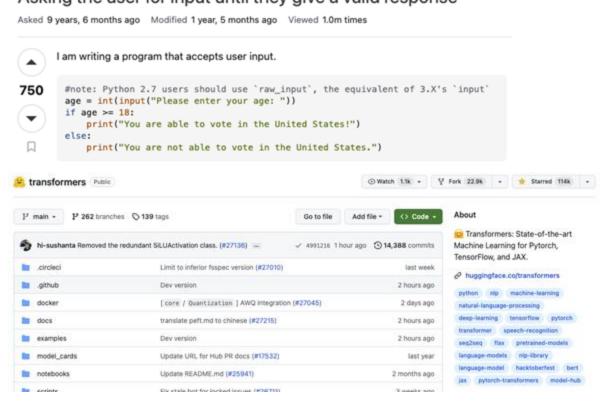
```
def solution(lst):
    """Given a non-empty list of integers, return the sum of all of the odd elements
    that are in even positions.

Examples
    solution([5, 8, 7, 1]) =>12
    solution([3, 3, 3, 3, 3]) =>9
    solution([30, 13, 24, 321]) =>0
    """

return sum(lst[i] for i in range(0,len(lst)) if i % 2 == 0 and lst[i] % 2 == 1)
```

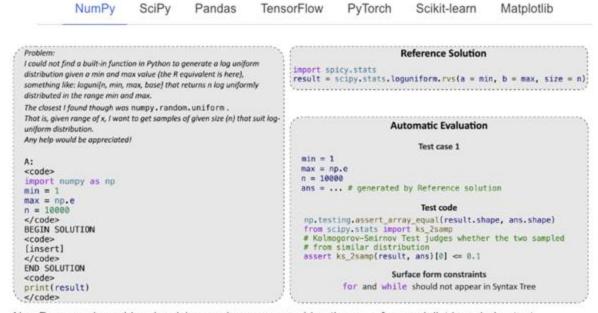
#### Real-World Development

#### Asking the user for input until they give a valid response



## **Broadening Domains**

- Leetcode Style: HumanEval, APPS, MBPP, LiveCodeBench
  - Manually written or collected from code contest websites
  - Limited to Python built-in libraries
- Limited Domains: e.g., Data Science
  - DS-1000: StackOverflow questions
  - ARCADE: Interactive Jupyter Notebooks
  - **>**
- Open Domain: ODEX and BigCodeBench



NumPy example problem involving randomness, requiring the use of a specialist knowledge test.

### **APPS**

- ► 10,000 problems taken from contest and exercise sites
- 3 difficulty levels
- Has test cases

#### **Problem**

You are given two integers n and m. Calculate the number of pairs of arrays (a,b) such that: the length of both arrays is equal to m; each element of each array is an integer between 1 and n (inclusive);  $a_i \leq b_i$  for any index i from 1 to m; array a is sorted in non-descending order; array b is sorted in non-ascending order. As the result can be very large, you should print it modulo  $10^9 + 7$ . Input: The only line contains two integers n and m ( $1 \leq n \leq 1000$ ,  $1 \leq m \leq 10$ ). Output: Print one integer – the number of arrays a and b satisfying the conditions described above modulo  $10^9 + 7$ .

#### **Model Output**

```
n, m = map(int, input().split())
mod = 10 * * 9 + 7
fact = [1, 1]
factinv = [1, 1]
inv = [0, 1]
for i in range (2, n+1):
    fact.append((fact[-1] * i) % mod)
    inv.append((-inv[mod % i] * (mod//i)) % mod)
    factinv.append((factinv[-1] * inv[-1]) % mod)
def cmb(n, r, mod):
    if (r < 0) or (n < r):
        return 0
    r = min(r, n - r)
    return fact[n] * factinv[r] * factinv[n-r] % mod
print ((cmb(n, m, mod) * cmb(m-1, n-1, mod)) % mod)
```

# CodeContests (AlphaCode)

Similar to APPS but focuses on improving test coverage, via input mutation (like EvalPlus).

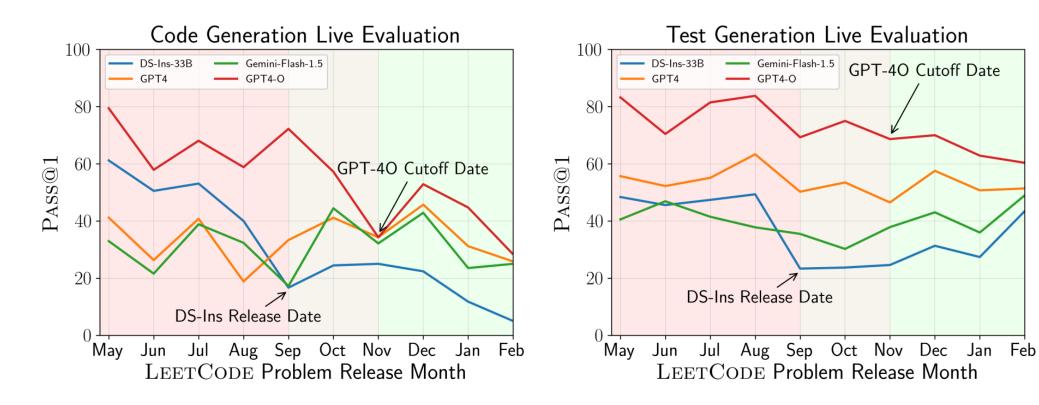
|       |          | Tes     | sts per pro | blem      | Solutions per problem (% correct) |             |             |  |
|-------|----------|---------|-------------|-----------|-----------------------------------|-------------|-------------|--|
| Split | Problems | Example | Hidden      | Generated | C++                               | Python      | Java        |  |
| Train | 13328    | 2.0     | 14.8        |           |                                   | 281.1 (47%) |             |  |
| Valid | 117      | 1.5     | 12.9        | 190.0     | 231.6 (47%)                       | 137.2 (55%) | 131.1 (54%) |  |
| Test  | 165      | 1.7     | 9.4         | 192.7     | 196.0 (45%)                       | 97.3 (54%)  | 105.2 (51%) |  |

Manual inspection shows high false-positive rate of model-produced solutions.

| Dataset          | Tests / problem | False Positive (FP) Rate | FP or Slow Rate |
|------------------|-----------------|--------------------------|-----------------|
| APPS             | 20.99           | 60%                      | 70%             |
| HumanEval        | 7.77            | 30%                      | N/A             |
| CodeContests raw | 12.4            | 62%                      | 88%             |
| CodeContests     | 203.7           | 4%                       | 46%             |

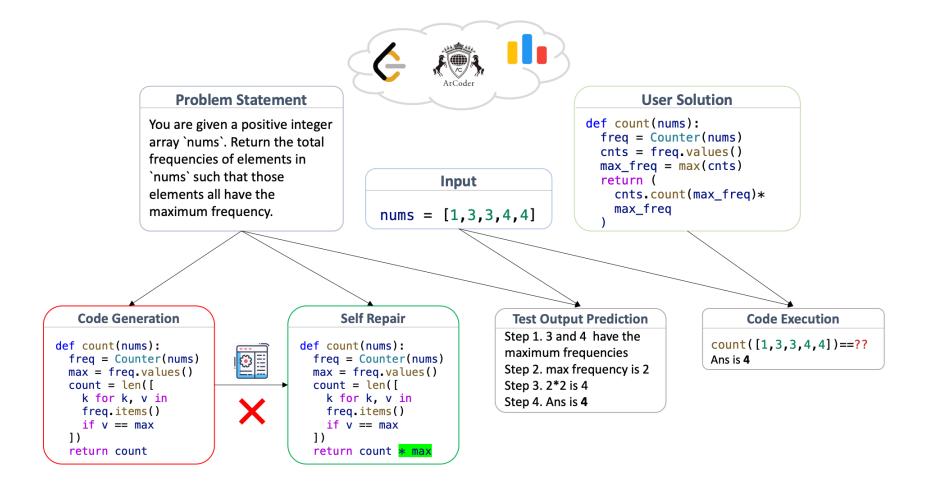
### LiveCodeBench

- Difficult to ensure that models haven't trained on benchmarks
- Live benchmarks: can be updated with problems created after models have been trained



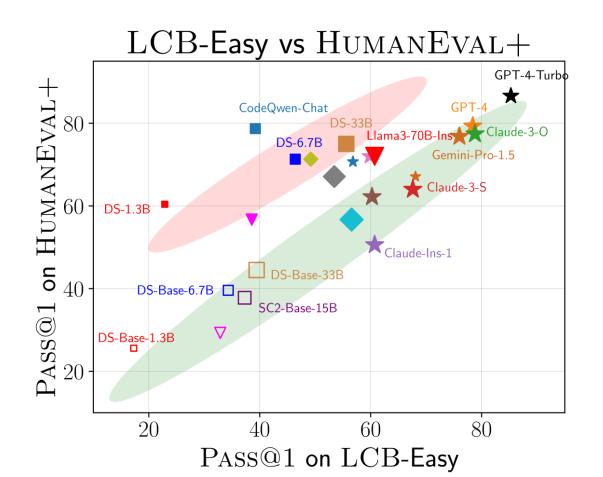
### LiveCodeBench

 LiveCodeBench contains several tasks sourced from competition programming sites (LeetCode, AtCoder, CodeForces)



## LiveCodeBench

 Gives some evidence that recent post-trained models are overfitting to HumanEval



#### DS-1000

- 1,000 data science problems, based on StackOverflow questions
- Domain-specific test cases, e.g. matplotlib plots have their elements programmatically extracted

#### Manually Selecting and Modifying StackOverflow Problems

```
Here is a sample dataframe:

df = pd.DataFrame({"A": [1, 2, 3], "B": [4, 5, 6]})

I'd like to add inverses of each existing column to the dataframe and ... [omitted for brevity]

try:

inv df = df.join(df.apply(lambda x: 1/x).add prefix("inv "))
```

#### **1** Implementing Automatic Tests

#### **4** Perturbing Original Problem

#### Adding Code Context

#### **6** Red Teaming

### DS-1000

- Perturb the problems to reduce chances of memorization, since models may have been trained on StackOverflow
- "Surface" perturbations: don't change solution. "Semantic": do, but try to keep difficulty the same (e.g. max -> min)

|                             | Pandas      | NumPy          | Scikit-learn      | SciPy         | TensorFlow      | PyTorch            | Overall       |
|-----------------------------|-------------|----------------|-------------------|---------------|-----------------|--------------------|---------------|
| Origin <sub>surface</sub>   | 37.3        | 61.2           | 52.6              | 33.0          | 64.9            | 64.8               | 53.2          |
| Surface                     | 31.9 -5.4   | $58.4_{-2.8}$  | 55.7 + 3.1        | $32.1_{-0.9}$ | $58.0_{-8.9}$   | $50.0_{-14.8}$     | 49.8 - 3.4    |
| Origin <sub>semantic</sub>  | 36.8        | 56.7           | 60.6*             | 40.3          | 71.3            | 65.1               | 47.2          |
| Semantic                    | 33.2 - 3.6  | 49.0 - 7.7     | $38.9^{*}$        | $34.3_{-6.0}$ | $42.5_{-25.8}$  | 30.5 - 34.6        | $38.2_{-9.0}$ |
| Origin <sub>difficult</sub> | 39.9        | 52.7           | 5.0*              | 58.1          | 73.0*           | 53.8*              | 46.8          |
| Difficult Rewrite           | 17.7 - 22.2 | $27.1_{-25.0}$ | $6 	 0.0^* - 5.0$ | 13.8 - 44.3   | $38.0^* - 35.0$ | $28.8^{*}_{-25.0}$ | 21.0 - 25.8   |

### **ARCADE**

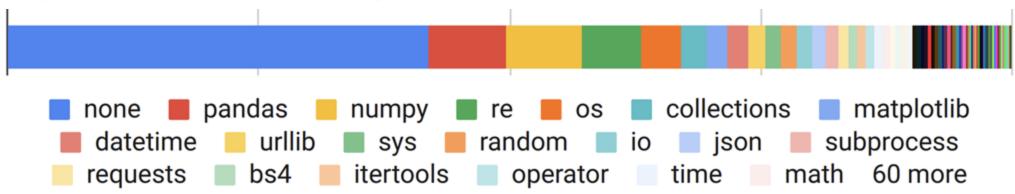
#### Executable problems from Jupyter notebooks

```
Which countries host at least two Olympic games?
   # Solution: Let's solve this problem step-by-step. preamble
   # Step 1: Get the counts each country hosted Olympics
    count df = df['Country'].value counts()
   # Step 2: Get the rows whose average score is above 90
   filtered df = count df[count df >= 2]
   # Step 3: Get the country names as a list
                                                      explanation
   filtered df.index.tolist()
[1] import pandas as pd
    df = pd.read_csv('stores.csv')
[2] # Schema of Dataframes:
    # Columns in df with example values:
    # Stu_Name (Mike), Engineering (90), English (89), Math (92)
[3] Get the students with an average score above 90
    for science subjects
[3a] ► Vanilla Prediction (no exemplars):
    df['Science Avg'] = (df['Engineering']+df['Math'])/2
    df[df['Science Avg'] > 90][['Stu Name', 'Science Avg']]
```

| Models               | pass@30    | # API      | Lines of<br>Code (LoC) | Comment<br>Lines | Tokens / Line |     |
|----------------------|------------|------------|------------------------|------------------|---------------|-----|
| Baseline (Tab. 2)    | 47.7       | 4.9        | 2.3                    | 0.1              | 21.1          | 3.2 |
| + More Context       | 49.3       | $^{I}$ 4.9 | 2.3                    | 0                | 21.1          | 3.1 |
| Prompt               | ing with A | ddition    | al Few-shot I          | Exemplars        |               |     |
| Vanilla Code         | 49.9       | 5.3        | 2.4                    | 0.1              | 20.8          | 3.1 |
| Step-by-Step Code    | 51.9       | 5.6        | 3.2                    | 0.1              | 17.8          | 2.7 |
| + Preamble           | 51.9       | 5.9        | 3.5                    | 0.2              | 16.9          | 2.5 |
| + Pre. + Explanation | 52.5       | 6.8        | 4.2                    | 3.3              | 14.9          | 2.2 |

## ODEX: Open-Domain, with Evaluation

Larger Domain Coverage



- Test execution on real-world coding queries
  - Collected from StackOverflow questions
- Support four natural languages as input
  - English, Spanish, Japanese, Russian

```
import requests

def function(files, url, data):
    """multipartのリクエストで複数のデータ`files`, 'data`を`url'にPOSTする
    (POST multiple data `files`. 'data` to `url' with multipart request)
```

```
return requests.post(url, files=files, data=data)

# test case
r = requests.Response()
r.status_code = 200
requests.post = Mock(return_value = r)
file_path = 'a.txt'
```

| Dataset                       | Samples | Domain | Executable? | Avg. Test Cases | Data Source                   | NL             |
|-------------------------------|---------|--------|-------------|-----------------|-------------------------------|----------------|
| JuICe (Agashe et al., 2019)   | 1,981   | open   | ×           | -               | GitHub Notebooks              | en             |
| HumanEval (Chen et al., 2021) | 164     | 4      | ✓           | 7.7             | Hand-written                  | en             |
| MBPP (Austin et al., 2021)    | 974     | 8      | ✓           | 3.0             | Hand-written                  | en             |
| APPS (Hendrycks et al., 2021) | 10,000  | 0      | ✓           | 13.2            | Competitions                  | en             |
| DSP (Chandel et al., 2022)    | 1,119   | 16     | ✓           | 2.1             | Github Notebooks              | en             |
| MTPB (Nijkamp et al., 2022)   | 115     | 8      | ✓           | 5.0             | Hand-written                  | en             |
| Exe-DS (Huang et al., 2022)   | 534     | 28     | ✓           | -               | GitHub Notebooks              | en             |
| DS-1000 (Lai et al., 2022)    | 1,000   | 7      | ✓           | 1.6             | StackOverflow                 | en             |
| CoNaLa (Yin et al., 2018)     | 2,879   | open   | Х           | -               | StackOverflow                 | en             |
| MCoNaLa (Wang et al., 2022)   | 896     | open   | ×           | -               | StackOverflow                 | es, ja, ru     |
| d ODEX                        | 945     | 79     | ✓           | 1.8             | StackOverflow<br>Hand-Written | en, es, ja, ru |

```
(catcutate the improper integral given by the function if from the number 'n' to infinity)

return
```

```
return sympy.integrate(f, (sympy.symbols('x'), n, sympy.oo))

# test case
x = sympy.symbols('x')
f = (x * x)
n = 1
assert str(function(f, n)) == 'oo'
```

## **ODEX: Unique Challenges for Execution**

Closed-domain code: easy to execute and verify

assert func([1, 2, 10]) == [2, 3, 11]

#### Open-domain code:

Random outputs



- Specialized verification
- (Potentially) not reproducible queries
  - HTTP requests, e.g., requests.post("https://def.xyz", data={'key': 'value'})

```
ValueError Traceback (most recent call last)

Cell In[4], line 1
----> 1 assert (a == b)

In [2]: a = np.array([1, 2, 3])

In [3]: b = np.array([1, 2, 3])

In [5]: np.array_equal(a, b)
Out[5]: True
```

In [4]: assert (a == b)

# Significant Performance Gaps on Library Usage

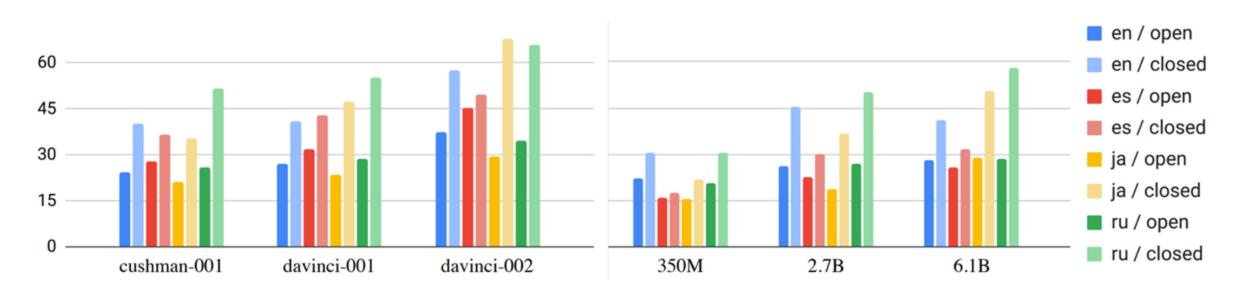


Figure 7: CODEX (left) and CODEGEN (right) pass@1 on open- and closed-domain problems in each language.

Scaling up to more complex library-using problems, via LLMs

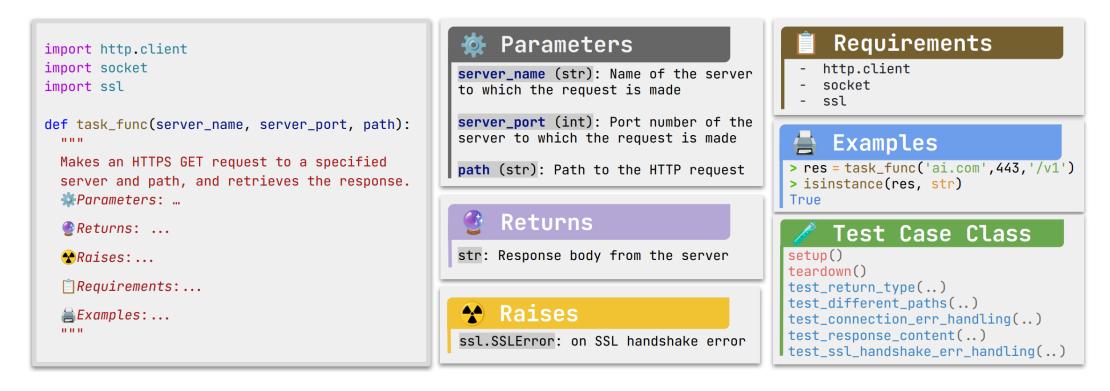


Figure 1: Programming tasks in BigCodeBench are structured with complex instructions in the docstrings, annotated by experts. The behavior of the solution is evaluated against a class of rigorous test cases with the proper environment setup.

► LLM (GPT-4)-based problem generation, seeded from ODEX

```
Based on the following simple example, write more complex scenarios and invoke multiple Python libraries to solve each problem.

The written intent should align with a more specific and practical scenario, but should still be easy to do functional correctness assertion.

For each scenario, write a single Python function with the rewritten intent.

Please include requirements and terminal-based input-output examples in the function docstring.

The function should contain complex logic like if-else statements and loops.

You have to use more than three Python libraries for a scenario. Write imports and variable definitions outside the function.

Try to avoid using web APIs if possible.

If there are any constants (e.g. strings and numeric values) used in the functions, you need to declare them before the function.

If data is used, you need to provide sample data in the comment.

Try to return values for correctness assertion.

Each programming scenario and intent should be separated by the special token 'GPT_ODEX_BREAK'.
```

- LLM-based refinement of functions and unit test generation
- Further checking by human annotators, with aid of a code interpreter

